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U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:91-cv-05471-RPP

Katz, et al v. Salomon Inc., et al

Date Filed: Aug 13, 1991
Status: Closed
Nature of suit: 470 Racketeer/Corrupt Organization
Demand: \$0
Assigned to: [Judge Robert P. Patterson](#)
Cause: 15:78m(a) Securities Exchange Act
Date terminated: Dec 19, 1997
Jurisdiction: Federal Question
Jury demand: Plaintiff
Member cases: 1:91-cv-05534-RPP
1:91-cv-05486-RPP
1:91-cv-05696-RPP
1:91-cv-05701-RPP
1:91-cv-05702-RPP
1:91-cv-06224-RPP
1:91-cv-05991-RPP
1:91-cv-06496-RPP
1:91-cv-07029-RPP
1:92-cv-03142-RPP
1:92-cv-06935-RPP
1:92-cv-06602-RPP

Additional Related Documents: [Multidistrict Litigation 933](#)

Parties and Attorneys

Plaintiff

Susan Katz

Representation

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Plaintiff **Resource/Reed**

Plaintiff **Catherine Baker Knoll**

Representation **Robert Peter O'Neill**
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Plaintiff **Glickenaus & Co.**

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Plaintiff **Leonard I. Schreiber**

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Plaintiff **MARshall Wolf**

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Plaintiff **Winifred C. Johnson**

Representation **Robert Peter O'Neill**
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TERMINATED: 08/05/1994
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Defendant **John H. Gutfreund**
TERMINATED: 08/05/1994

Defendant **Thomas W. Strauss**
TERMINATED: 08/05/1994

Defendant **Paul Mozer**
TERMINATED: 06/16/1995

Representation **Leanore Barth**
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TERMINATED: 06/16/1995
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Defendant **Thomas Murphy**
TERMINATED: 08/05/1994

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Defendant **Steinhardt Management Corporation**
TERMINATED: 08/05/1994

Defendant **Steinhardt Partners**
TERMINATED: 08/05/1994

Defendant **Michael Steinhardt**
TERMINATED: 08/05/1994

Defendant **Ernest Theurer**
TERMINATED: 08/05/1994

Defendant **Soros Fund Management**
TERMINATED: 08/05/1994

Defendant **Tiger Management Fund**
TERMINATED: 08/05/1994

Defendant **John W. Meriwether**
TERMINATED: 08/05/1994

Defendant **Christopher Fitzmaurice**
TERMINATED: 08/05/1994

Defendant **Henry Epstein**
TERMINATED: 08/05/1994

All Plaintiffs **All Plaintiffs**

Representation

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Defendant **Caxton Corporation**
TERMINATED: 08/05/1994

Defendant **Luttrell Capital Managment, Inc**
TERMINATED: 08/05/1994

Defendant **David Scott Luttrell**
TERMINATED: 08/05/1994

Defendant **Bruce Kovner**
TERMINATED: 08/05/1994

Docket Entries

Numbers shown are court assigned numbers.

Entry #	Filing Date	Description
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1	Aug 13, 1991	CLASS ACTION COMPLAINT filed; Summons issued and Notice pursuant to 28 U.S.C. 636(c); JURY TRIAL DEMANDED. FILING FEE \$ 120.00 RECEIPT # 42680 (gq) (Entered: 08/14/1991)
2	Sept 9, 1991	MEMO ENDORSED PRETRIAL ORDER No. 1 filed...consolidating cases 91 Civ. 5471 (RPP), 91 Civ. 5486 (JFK), 91 Civ. 5534 (RPP), 91 Civ. 5701 (RPP), 91 Civ. 5702 (RPP), and 91 Civ. 5696 (KTD) for discovery purposes under Master File and Docket No. 91 Civ. 5471 (RPP). New caption is In re Salomon Brothers Treasury Litigation...ON 8-30-91 plttf counsel agreed to limit the size of the Executive Committee and that the two firms set forth in this revised order should co-chair this litigation. The Court has reviewed the submission of Sirota and Sirota and concludes not to name that firm as a co-chair in this litigation...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 09/12/1991)
	Sept 9, 1991	Consolidated Lead Case. (gq) (Entered: 09/12/1991)
3	Sept 9, 1991	STIPULATION and ORDER EXTENDING TIME...that the time for all defts named in the above-captioned actions to answer or otherwise respond to the complaints in the above-captioned actions shall be extended until 60 days following the filing by the plttfs of a consolidated complaint..SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 09/12/1991)
4	Sept 11, 1991	AFFIDAVIT in support of JULES BRODY by Susan Katz Re: His application to be appointed co-chairperson of a proposed executive committee of plttfs' counsel in the above action. (gq) (Entered: 09/12/1991)
5	Nov 8, 1991	NOTICE by Susan Katz to take deposition of Caxton Corporation on 11/29/91; subpoena(s) issued. (jr) (Entered: 11/21/1991)
6	Nov 8, 1991	NOTICE by Susan Katz to take deposition of Steinhardt & Partners on 11/29/91; subpoena(s) issued. (jr) (Entered: 11/21/1991)
7	Nov 13, 1991	STIPULATION and ORDER,Regarding Confidentiality of Pretrial Discovery...that the following provisions shall govern disclosure and use of all Confidential Information by Greenwich Capital Markets, Inc. in the course of p/t discovery in this action (as indicated). signed by Judge Robert P. Patterson. (sc) (Entered: 11/26/1991)
8	Dec 5, 1991	STIPULATION and ORDER REGARDING CONFIDENTIALITY OF PRETRIAL DISCOVERY...that, in connection with discovery proceedings in this action, any non-party may, by written notice, or by a statement on the record at a deposition, designate any non-public document, material, or information as "Confidential" under the terms of this Order...that Confidential Information may be disclosed only to "Qualified Persons" and used only in connection with this action...that, upon termination of this action, the receiving party will destroy all documents or material designated as "Confidential" and all copies thereof, provided the receiving party may keep copies of those confidential materials which have been included in papers filed with any Court...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 12/06/1991)
9	Dec 5, 1991	CONSENT ORDER, substituting attorney firm of Cravath, Swaine & Moore in place of Wachtell, Lipton, Rosen & Katz for defts Salomon Inc and Salomon Brothers Inc (signed by Judge Robert P. Patterson); (gq) Modified on 12/17/1991 (Entered: 12/06/1991)
10	Dec 19, 1991	Letter filed dated 12/17/91 to James Parkinson, Clerk, from Seward B. Snell, asking if a class action suit against Salomon has been filed, and if so, to furnish the name and address of the plttf's attorneys to him. (gq) (Entered: 12/19/1991)
	Mar 6, 1992	ORDER, consolidating case 91 Civ. 7029 (RPP) under Consolidated Action 91 Civ. 5471 for discovery purposes and that all the terms of Pretrial Order 1 are applicable to the above-captioned action ...SO ORDERED... (signed by Judge Robert P. Patterson); Copies mailed. (Originally docketed on 91 Civ. 7029 RPP). (gq) Modified on 03/12/1992 (Entered: 03/08/1992)
11	Mar 17, 1992	STIPULATION and ORDER REGARDING CONFIDENTIALITY OF PRETRIAL DISCOVERY that in connection with discovery proceedings in this action, George Soros may, by written notice, or by a statement on the record at a deposition, designate any non-public document, material, or information as "Confidential" under the terms of this Order...that all information subject to confidential treatment in accordance with the terms of this Stipulation and Order that is filed with the Court, and any pleadings, motions or other papers filed with the Court disclosing any confidential information, shall be filed under seal and kept under seal until further order of the Court. Where possible only confidential portions of filings with the Court shall be filed under seal ...SO ORDERED... (signed by Judge Robert P. Patterson). (gq)

(Entered: 03/18/1992)

12	Mar 17, 1992	STIPULATION and ORDER REGARDING CONFIDENTIALITY OF PRETRIAL DISCOVERY that the following provisions shall govern disclosure and use of all Confidential Information given by Daiwa Securites America Inc. in the course of pre-trial discovery in this action...that in connection with discovery proceedings in this action, Daiwa may, by written notice, or by a statement on the record at a deposition, designate any non-public document, material, or information as "Confidential" under the terms of this Order...that Confidential Information may be disclosed only to "Qualified Persons" and used only in connection with the above captioned action, and for no other purpose, and solely as permitted below ...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 03/18/1992)
13	Apr 9, 1992	STIPULATION and ORDER, for protective order (signed by Judge Robert P. Patterson). (pa) (Entered: 04/10/1992)
14	Apr 14, 1992	Filed Memo-Endorsement on letter dated 4/13/92 to Judge Patterson from John R. Hupper, depts atty, requesting that the 4/15/92 pretrial conference be adjourned for 2 weeks... APPLICATION GRANTED, reset pretrial conference for 9:00 4/29/92 (signed by Judge Robert P. Patterson) (gq) (Entered: 04/30/1992)
15	June 1, 1992	Filed Memo-Endorsement on letter dated 5/29/92 to Judge Patterson from Louis F. Burke, atty for plffs Three Crown Limited Partnership, et al. in case 92 Civ. 3142 (RLC), requesting an extension of time untl 6/8/92 to file papers in opposition to the consolidation...APPLICATION GRANTED... SO ORDERED... (signed by Judge Robert P. Patterson) (gq) (Entered: 06/12/1992)
20	June 9, 1992	Filed Memo-Endorsement on letter dated 6/8/92 to Judge Patterson from Gerald Kerner, atty for Soros Fund Mgmt. and George Soros, requesting the Court defer any decision on the Proposed Order until Judge Carter has determined whether to grant or deny Salomon's request for transfer and, should Judge Carter grant Salomon's request for transfer, that the Court permit SFM and Mr. Soros to oppose or otherwise address the Proposed Order within 10 days from the date on which Judge Carter's order of transfer is filed...APPLICATIONS GRANTED, (signed by Judge Robert P. Patterson) (gq) (Entered: 06/27/1992)
17	June 19, 1992	STIPULATION and ORDER, that, in response to Requests 18 and 19 of pltffs' Second Request for Production of Documents (it being understood that no documents responsive to Request 17 will be produced pursuant to this Stipulation), Salomon Inc and Salomon Brothers Inc shall produce to pltffs' counsel within one week of the entry of this Order all of its submissions (as to facts or arguments concerning the significance of the facts) concerning Treasury auction-related matters, and matters relating to disclosure thereof, which were produced to agencies of the United States Government during recent investigations of Salomon by the Securities and Exchange Commission, the Department of Justice and the United States Attorney's Office for the S.D.N.Y. The parties agree, subject to Paragraph 2 hereof, that these submissions shall not be disclosed to anyone other than pltffs' attorneys and this Court for 120 days from the date of production ...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 06/23/1992)
18	June 19, 1992	STIPULATION and ORDER, that Salomon Inc and Salomon Brothers Inc will waive its attorney-client privilege and work product protection to the extent set forth in the Letter Agreement between Francis P. Barron of Cravath, Swaine & Moore, Counsel for Salomon, and Joseph I. Goldstein, Associate Director of the Securities and Exchange Commission, dated 11/8/91 and concomitantly, within one week of the entry of this order, will provide to pltffs the same documents provided to the Government pursuant to the Barron-Goldstein letter...that all documents produced to the parties to this Stip and Order shall be treated in accordance with the provisions governing Highly Confidential information set forth in the Confidentiality Stipulation entered by this Court on 4/9/92 ...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 06/23/1992)
19	June 19, 1992	STIPULATION and ORDER, that Salomon Inc and Salomon Brothers Inc shall be deemed to have fully satisfied Request 16 of pltffs' Second Request for Production of Documents directed to Salomon Inc and Salomon Brothers Inc dated 1/31/92 by providing to pltffs within one week of the entry of this Order all transcripts of testimony given by present and former Salomon employees (excluding transcripts of testimony given by John Gutfreund, John Meriwether and Thomas Strauss which are being produced by counsel for those parties pursuant to a separate agreement) or attorneys before the Securiteis and Exchange Commission or any other governmental agency, and all exhibits to such transcripts to the extent such transcripts and exhibits were provided to, or are otherwise in the possession, custody or control of, Salomon or its attorneys. The parties agree, subject to Paragraph 2 hereof, that the transcripts and the exhibits shall not be disclosed to anyone other than pltffs' attys and this Court, except as

		provided herein, for 120 days from the date of production ...SO ORDERED... (signed by Judge Robert P. Patterson). (gq) (Entered: 06/23/1992)
16	June 22, 1992	Letter filed dated 6/15/92 to Judge Patterson from Ronald B. Kendricks, requesting the Court send him any information on any Class Action Lawsuit that might have been filed in which his claims would be included. (gq) (Entered: 06/22/1992)
21	June 22, 1992	Letter filed dated 6/16/92 to Judge Patterson from Douglas J. Clark, atty for deft Thomas Murphy, who informs the Court that Mr. Murphy is withdrawing his objection to Salomon's production of the witness notes and memoranda reflecting communications by Mr. Murphy to Salomon's inside counsel and former outside counsel during their internal investigation. (gq) (Entered: 07/11/1992)
22	June 22, 1992	Letter filed dated 6/16/92 to Judge Patterson from Lee S. Richards, atty for deft Paul Mozer, who informs the Court the Mr. Mozer is agreeing to Salomon's production of the witness notes and memoranda reflecting communications by Mr. Mozer to Salomon's inside counsel and former outside counsel during their internal investigation. (gq) (Entered: 07/11/1992)
	June 26, 1992	Status conference held before Judge Patterson. (gq) (Entered: 06/26/1992)
	June 26, 1992	Memorandum to Docket Clerk: Set Status Conference for 7/15/92 at 9 A.M. Counsel to meet next week, to report to the Court at next conference. (gq) (Entered: 06/26/1992)
	July 15, 1992	Status conference held before Judge Patterson. (gq) (Entered: 07/15/1992)
	July 15, 1992	Memorandum to Docket Clerk: Ten days to sharpen claims (by 7/25/92). Substantive areas production by 9/15/92. (gq) (Entered: 07/15/1992)
23	Aug 24, 1992	Filed Memo-Endorsement on letter dated 8/19/92 to Judge Patterson from Aaron R. Marcu, atty for defts John H. Gutfreund, Thomas W. Strauss, and John Meriwether, requesting an additional week, until 8/28/92, to submit a list of deposition witnesses pursuant to the Court's August 7 Order...APPLICATION GRANTED...SO ORDERED... (signed by Judge Louis J. Freeh - Part I) (gq) (Entered: 08/26/1992)
24	Aug 24, 1992	Filed Memo-Endorsement on letter dated 8/20/92 to Judge Patterson from Lee S. Richards, atty for deft Paul Mozer, requesting an extension of time from 8/21/92 until 8/28/92 to submit proposed scheduling orders for lay witness depositions...APPLICATION GRANTED...SO ORDERED... (signed by Judge Louis J. Freeh - Part I) (gq) (Entered: 08/26/1992)
	Aug 31, 1992	Status conference held before Judge Patterson. (gq) (Entered: 09/01/1992)
	Aug 31, 1992	Memorandum to Docket Clerk: Set Status Conference for 9/14/92 at 4 P.M. Amended Complaint by 10/9/92, necessary documents by 9/4/92. (gq) (Entered: 09/01/1992)
25	Sept 4, 1992	TRUE COPY OF MDL TRANSFER ORDER, that this action is transferred to the S.D.N.Y. and, with the consent of that court, assigned to Judge Patterson for coordinated or consolidated pretrial proceedings with the actions listed on Schedule A and pending in that district. (Originally docketed on M21-63 RPP).) (gq) (Entered: 09/07/1992)
	Sept 14, 1992	Memorandum to Docket Clerk: To report to the Court at 9/21/92 scheduled conference (for all litigations: securities, derivative & treasury). (gq) (Entered: 09/22/1992)
	Sept 21, 1992	Status conference held before Judge Patterson. (gq) (Entered: 09/22/1992)
	Sept 21, 1992	Memorandum to Docket Clerk: Status Conference set for 10/13/92 at 9 A.M. Service of Amended Complaint by 10/9/92. (gq) (Entered: 09/22/1992)
26	Oct 1, 1992	Letter filed dated 9/30/92 to Judge Patterson from Karen L. Morris and Thomas J. Scherer, who writes pursuant to the Court's request to update him regarding progress in resolving the remaining open discovery issues discussed at the Sept. 21 status conference. (gq) (Entered: 10/01/1992)
27	Oct 1, 1992	PLAINTIFFS' JOINT SCHEDULING ORDER for lay witness depositions. (Docketed as per instruction of Chambers). (gq) (Entered: 10/01/1992)
	Oct 13, 1992	Status conference held before Judge Patterson. (gq) (Entered: 10/13/1992)
	Oct 13, 1992	Memorandum to Docket Clerk: Set status conference for 10/23/92 at 2 P.M. Status conference 10/20/92 at 3 P.m. With Salomon Bros, SWS & Commonwealth (gq) (Entered: 10/13/1992)
28	Oct 19, 1992	NOTICE of Change of Address of plaintiff attorney firm Pomerantz Levy Haudek Block &

Grossman to 100 Park Avenue-26th Floor, New York, N.Y. 10017-5516, Telephone #: (212) 661-1100 by Susan Katz. (gq) (Entered: 10/19/1992)

	Oct 23, 1992	Memorandum to Docket Clerk: Status conference held before Judge Patterson on 10/23/92. (gq) (Entered: 10/29/1992)
	Nov 4, 1992	Memorandum to Docket Clerk: Status Conference held before Judge Patterson at 11/4/92. (gq) (Entered: 11/06/1992)
	Nov 6, 1992	Memorandum to Docket Clerk: Status conference held before Judge Patterson on 11/6/92. (gq) (Entered: 11/09/1992)
29	Nov 12, 1992	AMENDED COMPLAINT by All Plaintiffs , (Answer due 11/25/92 for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc.) amending [1-1] complaint ; Summons issued. (kg) (Entered: 11/16/1992)
	Nov 13, 1992	OPINION AND ORDER # 70681, denying the claim of privilege, and the documents, to the extent they come within the scope of Rule 26(b) (1) as found in this opinion, shall be produced for plaintiffs' inspection. (Signed by Judge Robert P. Patterson) ; Copies mailed. (Original filed in 91Civ5471(RPP), document #35). (jr) Modified on 11/16/1992 (Entered: 11/16/1992)
30	Nov 18, 1992	NOTICE OF MOTION by All Plaintiffs for an order admitting Irving Morris, Patrick F. Morris and Patrick Slyne to appear pro hac vice. Return date 12/11/92. (kg) (Entered: 11/18/1992)
30	Nov 18, 1992	AFFIDAVIT in support of Karen L. Morris by All Plaintiffs Re: [30-1] motion for an order admitting Irving Morris, Patrick F. Morris and Patrick Slyne to appear pro hac vice. (kg) (Entered: 11/18/1992)
31	Nov 25, 1992	Filed Memo-Endorsement on letter dated 11/19/92, counsel for deft Theurer requests a conference in re: inappropriate questioning, limiting of depositions, etc. Application denied (signed by Judge Robert P. Patterson) (email) (Entered: 11/27/1992)
	Jan 15, 1993	ORDER, for Charles M. Carberry to withdraw as attorney for deft. Thomas F. Murphy (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 01/19/1993)
32	Jan 15, 1993	STIPULATION and ORDER, extending time for defts to answer to the Consolidated Complaint to 3/11/93 , reset answer due for 3/11/93 for Thomas Murphy, for Paul Mozer, for Thomas Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (kg) (Entered: 01/26/1993)
	Jan 22, 1993	ORDER, Salomon is permitted to provide SEC transcripts of testimony by present., employees and is w/o prejudice to claims of "Confidential info" org. filed in 91cv5442doc. #47) (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 01/25/1993)
33	Feb 2, 1993	NOTICE OF MOTION by Plaintiffs for an order allowing Lawrence B. Schad, Stephen B. Diamond and David J. Phillips to appear pro hac vice. Return date 2/15/93. (kg) (Entered: 02/02/1993)
33	Feb 2, 1993	AFFIDAVIT of Lawrence W. Schad by All Plaintiffs Re: [33-1] motion for an order allowing Lawrence B. Schad, Stephen B. Diamond and David J. Phillips to appear pro hac vice. (kg) (Entered: 02/02/1993)
34	Feb 2, 1993	NOTICE of attorney appearance for Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MArshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz by Robert P. O'Neill (kg) (Entered: 02/03/1993)
	Feb 25, 1993	NOTICE of by Thomas Murphy of substitution of counsel. (gb) (Entered: 02/26/1993)
	Feb 26, 1993	Memo endorsed on motion; granting [30-1] motion for an order admitting Irving Morris, Patrick F. Morris and Patrick Slyne to appear pro hac vice. (signed by Judge Robert P. Patterson); Copies mailed. (gb) (Entered: 03/01/1993)
35	Mar 1, 1993	Memo endorsed on motion; granting [33-1] motion for an order allowing Lawrence B. Schad, Stephen B. Diamond and David J. Phillips to appear pro hac vice. (signed by Judge Robert P. Patterson); Copies mailed. (gb) (Entered: 03/02/1993)
36	Mar 10, 1993	STIPULATION and ORDER, reset answer to the consolidated complt.. due for 4/30/93 for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (gb) (Entered: 03/12/1993)

37	Apr 22, 1993	NOTICE of change of address of attorney by Paul Mozer (gb) (Entered: 04/26/1993)
38	Apr 29, 1993	ANSWER by Susan Katz, Salomon Inc. (Attorney Paul R. Grand) to amended complaint class action ; Firm of: Morvillo, Abramowitz & Grand, Iason & Silbergberg, P.C by attorney Paul R. Grand for defendant Salomon Inc. (gb) (Entered: 05/05/1993)
	Apr 29, 1993	Filed ANSWER to consolidated and amended class action complt..deft Ernest Theurer relying on his rights declines to serve and file a detailed answer to the consolidated and amended class action complt.. (gb) (Entered: 05/05/1993)
39	May 12, 1993	ORDER/MEMO-ENDORSED.., request to extend time to answer or move to the action is ext to 7.30.93...no reasons being proposed this application is denied...so ordered.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 05/14/1993)
40	May 13, 1993	STIPULATION and ORDER, set discovery due for 5/26/93 , set motion filing deadline for 6/3/93 , answering papers by 6.9.93.. , Reply to Response to Motion by 6.17.93.. , argument by 6.17.93.. , to Take Deposition of all individuals identified in schedule by 8.31.93., and of all individuals to be identified in teh supplemental scheudle by 11.15.93...defts will notice etc depositions of Securities class plfff's by 8.31.93 and of the Treasury Class plfff's by 10.31.93...parties may reschedule depositions subject to dates...and may add additional depositions by 5.26.93.. (signed by Judge Robert P. Patterson). (gb) (Entered: 05/17/1993)
41	May 26, 1993	STIPULATION and ORDER, extending time for defts to move, answer or otherwise appear in the above action is extended to 7/30/93 , reset answer due for 7/30/93 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (kg) (Entered: 05/27/1993)
42	June 4, 1993	NOTICE OF MOTION by Salomon Inc., Salomon Brothers Inc for an order to compel plfffs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plfffs' damages. Return date 6/17/93. (kg) (Entered: 06/04/1993)
43	June 4, 1993	MEMORANDUM by Salomon Inc., Salomon Brothers Inc in support of [42-1] motion for an order to compel plfffs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plfffs' damages. (kg) (Entered: 06/04/1993)
44	June 4, 1993	AFFIDAVIT in support of Robert H. Baron by Salomon Inc., Salomon Brothers Inc Re: [42-1] motion for an order to compel plfffs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plfffs' damages. (kg) (Entered: 06/04/1993)
45	June 4, 1993	AFFIDAVIT in support of Keith R. Hummel by Salomon Inc., Salomon Brothers Inc Re: [42-1] motion for an order to compel plfffs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plfffs' damages. (kg) (Entered: 06/04/1993)
46	June 4, 1993	AFFIDAVIT in support of Keith R. Hummel by Salomon Inc., Salomon Brothers Inc Re: [42-1] motion for an order to compel plfffs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plfffs' damages. (kg) (Entered: 06/04/1993)
47	June 4, 1993	NOTICE OF MOTION by Salomon Inc., Salomon Brothers Inc for an order compelling to produce all documents concerning all securities with respect to which all the purported subclasses claim any damages by Treasury Class plfff. , Return date 6/17/93. (kg) (Entered: 06/04/1993)

48	June 4, 1993	MEMORANDUM by Salomon Inc., Salomon Brothers Inc in support of [47-1] motion for an order compelling to produce all documents concerning all securities with respect to which all the purported subclasses claim any damages by Treasury Class plttf. (kg) (Entered: 06/04/1993)
49	June 4, 1993	AFFIDAVIT in support of Robert . Baron by Salomon Inc., Salomon Brothers Inc Re: [47-1] motion for an order compelling to produce all documents concerning all securities with respect to which all the purported subclasses claim any damages by Treasury Class plttf., [42-1] motion for an order to compel plttfs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plttfs' damages. (kg) (Entered: 06/04/1993)
50	June 8, 1993	NOTICE OF MOTION by Treasury Class Plaintiffs for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. Return date 6/17/93 . (kg) (Entered: 06/08/1993)
51	June 8, 1993	MEMORANDUM OF LAW by Plaintiffs in support of [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. (kg) (Entered: 06/08/1993)
52	June 9, 1993	MEMORANDUM by Glickenaus & Co. in opposition to [42-1] motion for an order to compel plttfs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plttfs' damages. (gb) (Entered: 06/10/1993)
53	June 9, 1993	MEMORANDUM of Treasury Class plttf's in opposition to [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC the matter of: Certain Teasury Notes and Other Gov. Sec. (gb) (Entered: 06/11/1993)
54	June 14, 1993	MEMO OF LAW.. by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt in opposition to [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. (gb) (Entered: 06/14/1993)
55	June 14, 1993	DECLARATION of Frederick P. Schaffer Re: [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. . (gb) (Entered: 06/15/1993)
56	June 14, 1993	Filed Memo_Endorsement on letter dated 6/8/93, Response to motion reset to 6/11/93 for [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. , Reply to Response to Motion reset to 6/16/93 for [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Teasury Notes and Other Gov. Sec. (signed by Judge Robert P. Patterson) (cd) (Entered: 06/15/1993)
57	June 14, 1993	MEMORANDUM by Salomon Inc., Salomon Brothers Inc in support of [47-1] motion for an order compelling to produce all documents concerning all securities with respect to which all the purported subclasses claim any damages by Treasury Class plttf. (kg) (Entered: 06/16/1993)
58	June 14, 1993	REPLY MEMORANDUM IN SUPPORT by Salomon Inc., Salomon Brothers Inc re: [42-1] motion for an order to compel plttfs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial

Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plttfs' damages. (kg) (Entered: 06/16/1993)

59	June 16, 1993	MEMORANDUM OF LAW IN REPLY by Plaintiffs re: [54-1] opposition memorandum. (kg) (Entered: 06/17/1993)
60	June 17, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Paul O. Oaradis dated 6.16.93, Return date reset to 9:00 6/25/93 for [50-1] motion for an order purs. to Rule 37 of the FRCP to compel the production of submissions prepared by counsel for Steinhardt and voluntarily provided to the Securities and Exchange Commission in connection with an investigation by the SEC in the matter of: Certain Treasury Notes and Other Gov. Sec. (signed by Judge Robert P. Patterson) (gb) (Entered: 06/21/1993)
61	June 22, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Cravath, Swaine and Moore dated 6.14.93, Return date reset to 6/24/93 for [42-1] motion for an order to compel plttfs SWS Financial FUnd A, SWS Financial Fund B, Apollo I, Apollo II, SWS Financial Services, Inc., Stenhouse, Weiner, Sherman, Ltd., Hickey Financial Services, Ltd., Commonwealth Financial Futrues Fund II, Virginia Futures Management Corp, and Glickenhau & Co. to supply complete answers to the interrogatories of Salomon Inc. and Salomon Brothers Inc. concerning plttfs' damages...application granted in part ..defts motion to compel in part...deftsd motion to compel production of documents of SWS plttf is adj. to 6.24.93.. (signed by Judge Robert P. Patterson) (gb) (Entered: 06/24/1993)
	June 25, 1993	Motion hearing held (gb) (Entered: 06/28/1993)
62	July 1, 1993	SEALED DOCUMENT placed in vault per order of RPP dtd. 7.1.93 (gb) (Entered: 07/06/1993)
64	July 6, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Morris and Morris dated 7.2.93, to request an additional 10 days to submit the proposed schedule the Court has requested which should permit our experts in time to complete their thinkiing about additional discovery they may need and for plttfs' counsel and counsel fo Salomon to agree on a proposed schedule..application granted.. (signed by Judge Robert P. Patterson) (gb) (Entered: 07/09/1993)
63	July 8, 1993	Letter filed dated 7.2.93 re resolved Salomon's motion to compel production by the Treasury Class plttf's.. (gb) (Entered: 07/08/1993)
65	July 12, 1993	NOTICE OF MOTION by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt to stay the opinion and order of 6.10.93.. , Return date 7/14/93 (gb) (Entered: 07/13/1993)
66	July 12, 1993	MEMORANDUM by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt in support of [65-1] motion to stay the opinion and order of 6.10.93.. (gb) (Entered: 07/13/1993)
	July 14, 1993	Memo endorsed on motion; granting [65-1] motion to stay the opinion and order of 6.10.93....after hearing argumetn on motion and due consideration said motin for a stay is granted pending defts application for a stay and petition for an expedited hearing until said Court has acted on defts application for a stay.. (signed by Judge Robert P. Patterson); Copies mailed. (gb) (Entered: 07/19/1993)
67	July 14, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Pomerantz Levy Haudek Block and Grossman dated 7.2.93, Salomon's motion to compel production by the Treasury Class plttf's of documents..Salomon will subpoena documents from those witnesses..to request to 7.16.93 to inform the Court ofthe resolution of this issue... , (signed by Judge Robert P. Patterson) (gb) (Entered: 07/19/1993)
68	July 14, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Karen L. Morris dated 7.12.93, plttf would hope to be in a positon to respond to Salomon's damages interrogatories by 9.30.93., plttf request to 9.30.93 to respond.. , 1) forthwith plttf will advise Salomon of the specific Securities for which date is requested. 2) Salomon will provide such data to plttfs in the following 30 days., 3) non-party discovery b6y plttf is to be completed b 9.1.93., 4) plttf will repsond to Salomon damages request by 9.30.93.. reset discovery due for 9/1/93 , (signed by Judge Robert P. Patterson) (gb) (Entered: 07/19/1993)
71	July 14, 1993	SEALED DOCUMENT placed in vault (gb) (Entered: 08/04/1993)
69	July 19, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Pomerantz Levy Haudek Block and Grossman dated 7.16.93, extending time to advise the court to 7.30.93.. (signed by Judge Robert P. Patterson) (gb) (Entered: 07/20/1993)

70	July 27, 1993	CONFIDENTIAL AGREEMENT.. papers filed as confidential shall be filed: This envelope or container contains highly confidential information produced in this case (by name of party) it si not be to opened, nor are th contents to be displayed or revealed to anyone other than the court...etc.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 07/28/1993)
72	Aug 2, 1993	STIPULATION and ORDER, reset answer due for 8/31/93 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (gb) (Entered: 08/05/1993)
73	Aug 17, 1993	MANDATE OF USCA (certified copy) Re: the notice of motion for a stay pending decision on a petition for a writ of h/c...motion is stayed and is granted., decision is reserved on all other matters...CLERK, U.S.C.A. 2nd cir..(copy to Judge) (gb) (Entered: 08/18/1993)
74	Aug 25, 1993	Transcript of record of proceedings filed for dates of 6/25/93 at 9:54am. (kg) (Entered: 08/25/1993)
75	Aug 25, 1993	Transcript of record of proceedings filed for dates of 6/17/93 at 6:30pm. (kg) (Entered: 08/25/1993)
77	Aug 25, 1993	CONFIDENTIALLY AGREEMENT AND ORDER, this agreement shall apply to all confidential information produced in this action by Garvin GuyBtuler Corporation and Garba Ltd...etc (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 08/27/1993)
76	Aug 27, 1993	True Copy of Order from the USCA as to Salomon Inc., Salomon Brothers Inc, John H. Gutfreund, Thomas W. Strauss, Paul Mozer, Thomas Murphy, Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt, Ernest Theurer, os Soros Fund Managemen, Tiger Management Fun RE: set brief due for petitioner on 9.16.93.. , set brief due for respondent 8.24.93.. , earliest week of argument is 10.4.93...CLERK, U.S.C.A. 2nd cir.(copy to Judge) (gb) (Entered: 08/27/1993)
78	Aug 31, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman dated 8.27.93, reset answer due for 10/15/93 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. , to Take Deposition by 10.31.93.. , time to 10.15.93 to inform the court of the status of the case...application granted... (signed by Judge Robert P. Patterson) (gb) (Entered: 09/01/1993)
79	Sept 2, 1993	NOTICE of change of address of attorney Morvillo, Abramowitz, Grand, Iason & Silberberg to 530 Fifth Ave, N.Y., N.Y. 10036 by Ernest Theurer (gb) Modified on 09/02/1993 (Entered: 09/02/1993)
80	Sept 3, 1993	STIPULATION and ORDER, reset answer due for 10/15/93 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (gb) (Entered: 09/07/1993)
81	Sept 15, 1993	ORDER, no confidential info., may be filed unless the materials contains such information are palced in a sealed envelope or container on which shall be endorsed the Style of the action, a description of the contents of the envelope or container the words, "Confidential-Subject to Court Order" etc.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 09/20/1993)
82	Sept 27, 1993	Transcript of record of proceedings filed for dates of 7/14/93 at 2:15pm. (kg) (Entered: 09/30/1993)
83	Oct 4, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Schulte Roth and Zabel dated 9.28.93, reset pretrial order due for 1/14/94 , reset pretrial conference for 1/24/94 (signed by Judge Robert P. Patterson) (gb) (Entered: 10/05/1993)
84	Oct 22, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Jamie Beth Smith dated 10.21.93, plttf's request for leave to amend their complt. by 1.1.94 in order to add Caxton as a deft. We request the court grant us to 10.27.93 to address the issue...application granted... (signed by Judge Robert P. Patterson) (gb) (Entered: 10/26/1993)
85	Oct 22, 1993	ORDER, counsel for the parties shall confer with the U.S. Dept of Treasury..etc. counsel for the party seeking productin of such documents shall file a motion by 11.5.93.. , reset discovery due

for 1/31/94 , to Take Deposition discovery by 11.30.93.. , plffs shall provide answers to Salomon's damage interrogatories by 11.30.93.. , deposition discovery by 12.31.93.. , for leave to file second consolidated and amended complt. by 12.31.93.. , Treasury class plffs shall submit to defts by 2.28.94 the reports of experts... , defts shall submit to the Treasury Class plffs by 4.28.94 the reports of experts.. , deposition discovery of the parties by 6.15.94...etc.. , a joint pre-trial order by 6.1.94.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 10/26/1993)

86	Oct 29, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Patrick Slyne dated 10.27.93, the scheduling order of 10/21/93 is hereby amended to allow the parties to seek additional discovery for good cause shown., the following production of the parties expert witnesses report.. (signed by Judge Robert P. Patterson) (gb) (Entered: 11/02/1993)
87	Nov 3, 1993	Letter filed by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MArshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz dated 11.1.93 re plfff to produce to counsel for Caxton Corp Bruce Kovner and Scott Luttrell... (gb) (Entered: 11/03/1993)
88	Nov 8, 1993	Filed Memo_Endorsement on letter from Stanley M. Grossman dated 11.5.93, relief from the Court's 10.21.93 order requiring the filing by 11.5.93 of motions to compel with respect to Government Entities....application granted... (signed by Judge Robert P. Patterson) (gb) (Entered: 11/10/1993)
89	Nov 9, 1993	MANDATE OF USCA (certified copy) Re:..the petition is denied in accordance with the opinion of this court...ordered stay is lifted...CLERK, U.S.C.A. 2nd cir...(copy to Judge).. (gb) (Entered: 11/12/1993)
90	Nov 24, 1993	STIPULATION and ORDER, parties shall serve subpoenas for documents on the Treasury Dept or the FRBNY...any party wishing to file a motin to compel. production by the Treasury Dept shall at least one week priro to filing notify the Treasury Dept...etc..all parites to this stip shall use their best efforts to meet the deadlines.. (signed by Judge Robert P. Patterson). (gb) (Entered: 11/30/1993)
91	Nov 30, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman dated 11.29.93, the draft complt. which plffs were to serve 11.26.93 with respect to those defts be put off to 12.3.93...application granted.. (signed by Judge Robert P. Patterson) (gb) (Entered: 12/03/1993)
92	Nov 30, 1993	STIPULATION and ORDER, until further order from the Court no information designated Confidential shall be filed with the court etc unless material containing such is placed in a sealed envelope and the Works "Confidential--subject to Cout order...etc.. (signed by Judge Robert P. Patterson). (gb) (Entered: 12/03/1993)
95	Dec 2, 1993	Filed Memo_Endorsement on letter to Judge Patterson from David O. Buchholz dated 12.2.93, The Treasury Department requests that the Court consider 11.24.93 to be the effective date of the stipulation deadlines...application denied, in part., Priveledge to be provided by 12.3.93 all other dates extended 10 days... (signed by Judge Robert P. Patterson) (gb) (Entered: 12/06/1993)
97	Dec 3, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Lee S. Richards dated 12.1.93, the plffs application is granted in view of the excerpts of previous depositions video taping may lead tothe depostions being conducted in a more appropriate manner. The depositon testimony will also be recorded in the normal manner. The video taped depositions will only be used at trial if Mr. Mozer is not available for trial or upon further application to athe Court. The video tape wil be retained by Robert Monteguedo, Deputy Clerk for this Judge and made available to counsel for the parties in the Courthouse upon apropriate request.. (signed by Judge Robert P. Patterson) (gb) (Entered: 12/08/1993)
93	Dec 6, 1993	Letter filed by All Plaintiffs from Stanley M. Grossman to Judge Patterson dated 12.1.93 re video tape of Mr. Mozers depositions (gb) (Entered: 12/06/1993)
94	Dec 6, 1993	Letter filed by All Plaintiffs from Jules Brody to Judge Patterson dated 11.30.93 in re: leave to record the deposition of Paul W. Mozer. (gb) (Entered: 12/06/1993)
98	Dec 7, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman dated 12.3.93, reset pretrial conference for 9:00 12/6/93..granted. (signed by Judge Robert P. Patterson) (gb) (Entered: 12/09/1993)
99	Dec 7, 1993	CONFIDENTITY AGREEMENT ...this agreement applies to all confidential info produced in the action.. (signed by Judge Robert P. Patterson); (gb) (Entered: 12/09/1993)

100	Dec 13, 1993	Filed Memo_Endorsement on letter to Judge Patterson from Paul O. Paradis dated 12.10.93, conference be set for 12.18.93 at 9:00 a.m...application denied. (signed by Judge Robert P. Patterson) (gb) (Entered: 12/14/1993)
101	Dec 17, 1993	Letter filed to Judge Patterson from Morris and Morris, from Karen dated 12.15.93 (gb) (Entered: 12/17/1993)
102	Dec 27, 1993	NOTICE OF MOTION by All Plaintiffs omnibus motion and omnibus motin to compel the treasury and the Federal Reserve Bank of N.Y. to Produce documents.. , Return date (gb) (Entered: 12/30/1993)
103	Dec 27, 1993	MEMORANDUM by All Plaintiffs in support of [102-1] motion omnibus motion and omnibus motin to compel the treasury and the Federal Reserve Bank of N.Y. to Produce documents...(rec'd in night dep. at 12:09)/. (gb) (Entered: 12/30/1993)
104	Dec 27, 1993	DECLARATION of Karen L. Morris Re: [102-1] motion omnibus motion and omnibus motin to compel the treasury and the Federal Reserve Bank of N.Y. to Produce documents.. . (gb) (Entered: 12/30/1993)
105	Dec 28, 1993	NOTICE OF MOTION by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt to compel the U.S. Dept of Treasury to produce documents... (gb) (Entered: 12/30/1993)
106	Dec 28, 1993	MEMORANDUM by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt in support of [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (gb) (Entered: 12/30/1993)
107	Dec 28, 1993	DECLARATION of Fr4ederick P. Schaffer Re: [105-1] motion to compel the U.S. Dept of Treasury to produce documents... . (gb) (Entered: 12/30/1993)
108	Dec 28, 1993	NOTICE OF MOTION by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt to compel to produce documents.. , Return date (gb) (Entered: 12/30/1993)
109	Dec 28, 1993	MEMORANDUM by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt in support of [108-1] motion to compel to produce documents.. (gb) (Entered: 12/30/1993)
110	Dec 28, 1993	DECLARATION of Frederick P. Schaffer Re: [108-1] motion to compel to produce documents.. . (gb) (Entered: 12/30/1993)
111	Jan 3, 1994	Filed Memo-Endorsement on letter to Judge Patterson from Jules Brody dated 12/30/93, In Re: request extending time for serving their motion for class certification from 12/31/93, as set forth in the Court's 10/21/93, to 1/10/94.....Application Granted.... , reset motion filing deadline for 1/10/94 (signed by Judge Robert P. Patterson) (kg) (Entered: 01/04/1994)
112	Jan 3, 1994	Filed Memo-Endorsement on letter to Judge Patterson from Jonathan I. Polk dated 12/30/93, In Re: extending time.....Application for extension of time is denied. The motion should have been filed by 12/28/93, opposiing papers are to be filed by 2/1/94. Reply papers 2/15/94 (signed by Judge Robert P. Patterson) (kg) (Entered: 01/04/1994)
113	Jan 3, 1994	Filed Memo-Endorsement on letter to Judge Patterson from David O. Buchholz dated 12/29/93, In Re: writing to correct several misperceptions that Steinhardt's letter might create. The Treasury Dept. requests that the request in Steinhart's letter of 12/28/93, be denied.....Application Denied. The motion to compel should have been filed by 12/28/93, opposing papers are to be filed by 2/1/94, Reply papers by 2/15/94 , reset opposition to motion filing deadline for set for 2/1/94 , Reply to Response to Motion reset to 2/15/94 for [108-1] motion to compel to produce documents.. (signed Judge Robert P. Patterson) (kg) (Entered: 01/05/1994)
120	Jan 24, 1994	Filed Memo_Endorsement on letter..from Stanley M. Grossman dated 1.14.94, herein is the 2nd consolidated and amended class action complt...the first version was filed on 1.7.94 containing certain omissions and mistakes...application granted... (signed by Judge Robert P. Patterson) (gb) (Entered: 02/02/1994)
121	Jan 25, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Edward J.M. Little dated 1.24.94, counsel are to advise of the proposed schedule for all witnesses by 1/26/94.. (signed by Judge Robert P. Patterson) (gb) (Entered: 02/02/1994)
119	Jan 27, 1994	ORDER, for confidentiality...papers may be designated confidential., and filed in a selaed envelope witha the words "Confidential--subject to court order"...etc.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 02/02/1994)

129	Jan 27, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Edward J.M. Little.. dated 1.26.94, to Take Depositions of Mahyer AmirSaleh, Allgrain International, Triple Tierra on 2.8.94., Ray Hummelsto, Douglas Marzoni on 2.11.94., Douglas Hecker, Greenwich Captial, Inc on 2.15.94., Michael Basham and Peter D. Sternlight on 2.18.94. (signed by Judge Robert P. Patterson) (gb) (Entered: 02/04/1994)
114	Jan 31, 1994	STIPULATION and ORDER, extending time for defts to answer is extended to 3/31/94 , reset answer due for 3/31/94 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (kg) (Entered: 01/31/1994)
115	Jan 31, 1994	Objection(s) of Non-Party Witness Manufacturers Hanover Securities Corp. to plttfs' Subpoena dtd 12/17/93 and Supplemental Requests dtd 1/12/94. (kg) (Entered: 02/01/1994)
116	Jan 31, 1994	Objection(s) of Non-Party Witness Chemical Securities, Inc. to plttfs' Subpoena dtd 12/17/93 and Supplemental Request dtd 1/12/94. (kg) (Entered: 02/01/1994)
117	Feb 1, 1994	SEALED DOCUMENT placed in vault (af) (Entered: 02/01/1994)
118	Feb 1, 1994	SEALED DOCUMENT placed in vault (af) (Entered: 02/01/1994)
122	Feb 1, 1994	AFFIDAVIT in opposition of Mary R. Clarkin Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents. (ND: 2/1/94 at 6:39P) (kg) (Entered: 02/02/1994)
123	Feb 1, 1994	AFFIDAVIT in opposition of Donald L. Kohn Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents. (ND: 2/1/94 at 6:39P) (kg) (Entered: 02/02/1994)
124	Feb 1, 1994	AFFIDAVIT in opposition of Joan E. Lovett Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
125	Feb 1, 1994	AFFIDAVIT of Heaer L. Ruth. (ND: 2/1/94 at 6:39P) (kg) (Entered: 02/02/1994)
126	Feb 1, 1994	DECLARATION of Michael F. Silva in opposition [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
127	Feb 1, 1994	MEMORANDUM OF FEDERAL RESERVE BANK OF NY in opposition to [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of William D. Shaw, Jr. Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Robert D. Mcknew Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Samuel R. Marrone Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Marica K. Recktenwald Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Anthony J. Del re, Jr. Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of William M. Brachfeld Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Paul Dzuibek Re: [108-1] motion to compel to produce

		documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Jon S. Corzine Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Charles M. Trunz Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Joseph Polizzotto Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Kenneth M. Deregts Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of A. George Saks Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Michael Snow Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
128	Feb 1, 1994	AFFIDAVIT in opposition of Scott E. Pardee Re: [108-1] motion to compel to produce documents..., [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (kg) (Entered: 02/02/1994)
130	Feb 8, 1994	Letter filed by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, Marshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz dated 1.31.94 (gb) (Entered: 02/08/1994)
131	Feb 8, 1994	Letter filed dated 2.1.94 re motions to compel production.. (gb) (Entered: 02/08/1994)
132	Feb 15, 1994	REPLY MEMORANDUM by Steinhardt Managemen, Steinhardt Partners, Michael Steinhardt re: [108-1] motion to compel to produce documents.. (gb) (Entered: 02/16/1994)
133	Feb 15, 1994	ORDER, except upon further order of the court., no information designated as Highly confidential shall be filed with any court unless the materials are placed in a sealed envelope or container with the words "Confidential--subject to court order...This envelope contains highly confidential information etc.et al..". (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 02/18/1994)
	Feb 17, 1994	Pre-trial conference held (gb) (Entered: 02/18/1994)
134	Feb 22, 1994	MEMORANDUM OPINION # 72774 granting in part, denying in part [108-1] motion to compel to produce documents..., granting in part, denying in part [105-1] motion to compel the U.S. Dept of Treasury to produce documents..., granting in part, denying in part [102-1] motion omnibus motion and omnibus motin to compel the treasury and the Federal Reserve Bank of N.Y. to Produce documents..., ...as for the copies of the documents produced by the primary dealers to to SEC, the SEC has not come forward to assert any investigatory or prosecutorial privilege with respect to such documents...Accordingly, the Court grants the motion of the Steinhardt defts as to those documents...the motion brought by the Steinhardt defts to compel production of documents withheld the FRBN is granted to the extent set forth herin, subject to the court's review in camera of the nine documents as to which the attorney-client privilege is claimed. Such production shall take place by 3/1/94 prior to which the FRBNY shall have the right to redact as set forth herein.. (Signed by Judge Robert P. Patterson); Copies mailed. (gb) (Entered: 02/28/1994)
135	Mar 1, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Frederick P. Schaffer dated 2.28.94, application granted...The Salomon Brothers Treasury Litigation and the Three Crown have been consolidated for discovery purposes... (signed by Judge Robert P. Patterson) (gb) (Entered: 03/07/1994)
136	Mar 1, 1994	ORDER, FRBNY is ordered to provide the court and opposing counsel by 3.2.94 with an affidavit or affidavits from a person having personal knowledge of the facts.. , counsel Steinhardt defts have to 3.4.94 to file any objections..etc.. (signed by Judge Robert P.

		Patterson); Copies mailed (gb) (Entered: 03/07/1994)
137	Mar 4, 1994	ORDER, Treasury is authorized to produce to the requesting parties the documents listed in Exhibit B to this order...except upon further order of the court , no confidential documents shall be filed with this court or any appellate court or disclosed in any pleadings..confidential info shall read: Confidential order--subject to the court order".. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 03/08/1994)
142	Mar 8, 1994	ORDER, upon further notice from the court ., no confidential papers shall be filed or disclosed unless the documents re placed in a sealed envelope with the words.."Confidential., subject to court order...etc.." (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 03/10/1994)
138	Mar 10, 1994	Letter filed dated 3.2.94 to Judge Patterson from Jonathan I. Polk. (gb) (Entered: 03/10/1994)
139	Mar 10, 1994	AFFIDAVIT of Marysue Fisher Re: ..in support of the FRBNY's assertion of the attorney-client privilege.. (gb) (Entered: 03/10/1994)
140	Mar 10, 1994	AFFIDAVIT in support of Ernest T. Patrikis Re: ..in support of Assertion of Attorney-Client privilege.. (gb) (Entered: 03/10/1994)
141	Mar 10, 1994	AFFIDAVIT in support of Ann-Marie Meulendyke Re:..in support of the assertion of attorney-client privilege.. (gb) (Entered: 03/10/1994)
143	Mar 14, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Frederick P. Schaffer.. dated 3.11.94 dismissing [108-1] motion to compel to produce documents.., dismissing [105-1] motion to compel the U.S. Dept of Treasury to produce documents... (signed by Judge Robert P. Patterson) (gb) (Entered: 03/17/1994)
146	Mar 16, 1994	ORDER, the Court has reviewed the documents and affidavits submitted to the Court for in camera review by the Federal Reserve Bank of N.Y., purs. to the order of 2.18.94 and order dtd. 2.1.94 and order dtd. 2.28.94., as well as letters dtd. 2.24.94., 3.4.49 and 3.11.94., its findings and orders respecting the documents as to which the FRBN claims the attorney client privilege and the deliberative process privilege are set forth as specified.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 03/23/1994)
144	Mar 18, 1994	STIPULATION and ORDER, for confidentiality...this envelope contains highly confidential information produced in this case by it is not to be opened nor are the contents to be displayed or revealed to any other than the court, except on order of the court... (signed by Judge Robert P. Patterson). (gb) (Entered: 03/21/1994)
145	Mar 18, 1994	ORDER, for confidentiality...this envelope contains highly confidential info...produced in this case by name designated., it is not to be opened nor are the contents to be displayed or revealed to anyone other than the Court, except on order of the court... (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 03/21/1994)
	Mar 18, 1994	Pre-trial conference held (gb) (Entered: 03/28/1994)
	Mar 21, 1994	Pre-trial conference held (gb) (Entered: 03/22/1994)
147	Mar 21, 1994	Filed Memo_Endorsement on letter to Judge Patterson from David J. Murray.. dated 3.18.94, application denied, teh court ruled on these documents and order was served at 4:56 p.m. on standard and defts on 3.18.94... (signed by Judge Robert P. Patterson) (gb) (Entered: 03/25/1994)
148	Mar 24, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Edward J.M. Little dated 3.11.94, at a hearing held on 3.21.94, the applicaotn of Lutrell Capt. Management and D. Scott Lutrell was granted. The discovery request was narrowed to cover only documents concerning the investmetn of Three Crown Partnership and to allegations by Allgrain Intern'l Inc and Mr. Saleh as those documents included in the narrowed requests set forth in courts letter of 2.14.94.. (signed by Judge Robert P. Patterson) (gb) (Entered: 03/29/1994)
	Mar 28, 1994	Pre-trial conference held (gb) (Entered: 03/29/1994)
149	Mar 29, 1994	ORDER, LGSJ download from its computer system for plttfs raw data for April, May and June 1993 for the period of 4.15.94 through 10.31.91 pertaining to each of the specified items as maintained in the computer system... , ...LGSJ is ordered to produce by 4.1.94 in computer readable from strike price date on all option transactions.. , LGSJ and counsel for the treasury class shall appear on 4.4.94 to review status..etc.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 03/31/1994)

150	Mar 29, 1994	ORDER, documents shall be produced and sent to the Steinhardt defts by 3.21.94.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 04/01/1994)
151	Mar 31, 1994	STIPULATION and ORDER, extending time for defts to answer is extended to 5/31/94 , reset answer due for 5/31/94 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (kg) (Entered: 04/01/1994)
152	Mar 31, 1994	SECOND AMENDED CONSOLIDATED CLASS ACTION COMPLAINT by All Plaintiffs (Answer due 4/20/94 for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. amending [29-1] amended complaint against Caxton Corporation, Luttrell Captial, David Scott Luttrell, Bruce Kovner; Summons issued. (gb) (Entered: 04/05/1994)
	Apr 4, 1994	Pre-trial conference held (djc) (Entered: 04/05/1994)
159	Apr 18, 1994	ORDER, this partial settlement is hereby preliminarily approved and the court adopts all provisions of terms defined in the stip for the purpose of this order except for second sentence of paragraph 3 of the stip...hearing on 7.26 94 at 9:00 a.m. ...persons who desires the court to consider objections shall be filed with the CLERK OF THE COURT as specified.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 04/22/1994)
153	Apr 19, 1994	Filed Stip of Partial settlement and compromise..(unsigned and docketed purs. to request from chambers). (gb) (Entered: 04/19/1994)
154	Apr 19, 1994	Filed Stip of Partial settlement and compromise..dtd. 4.1.94. (gb) (Entered: 04/19/1994)
155	Apr 19, 1994	Filed Stip of partial settlement and compromise..dtd. 4.1.94.. (gb) (Entered: 04/19/1994)
156	Apr 20, 1994	Letter filed to Judge Patterson from Stanley M. Grossman dated 4.15.94 (gb) (Entered: 04/20/1994)
157	Apr 20, 1994	Letter filed to Judge Patterson from Stanley M. Grossman dtd. 4.15.94.. (gb) (Entered: 04/20/1994)
158	Apr 21, 1994	SEALED DOCUMENT placed in vault (af) (Entered: 04/21/1994)
160	May 9, 1994	Transcript of record of proceedings filed for dates of 2/17/93 (rag) (Entered: 05/09/1994)
161	May 10, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman dated 5.6.94, application for modification to plan of allocation is approved... , the netting of a two-year sector class members allowed losses in the cash...approved by Judge Patterson... , the language contained in the courts order at page 18 should be contained in the notice as well...proposed revised pages 29 and 30...approved.. signed by Judge Robert P. Patterson) (gb) (Entered: 05/11/1994)
162	May 12, 1994	Letter filed to Judge Patterson from Karen L. Morris dated 5.5.94 (gb) (Entered: 05/12/1994)
163	May 12, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Paul Paradis dated 5.9.94, the applications herein is approved and the tables and exhibit attached heret are approved as part of the curts order of 5.8.94 nunc pro tunc.. (signed by Judge Robert P. Patterson) (gb) (Entered: 05/13/1994)
164	May 12, 1994	Memo endorsed on copy of unsigned notice of class action, proposed partial settlement and hearing hereon and bar orders , this amended notice of class action, proposed partial settlement and hearing thereon, and bar order containing changes in Table, and exhibits as approved by th court. , by order of 5.8.94 is hereby approved... (signed by Judge Robert P. Patterson); Copies mailed. (gb) (Entered: 05/13/1994)
165	May 13, 1994	STIPULATION and ORDER, for confidentiality..all info subject to confidential infor shall be filed with the court , and any pleadings, motions etc shall be filed udner seal and kept under seal until further order of the court., Where possible, only confidential portions of filings with the court shall be filed under seal... (signed by Judge Robert P. Patterson). (gb) (Entered: 05/16/1994)
	June 7, 1994	STIPULATION and ORDER, extending time for defts to answer to 8/15/94 , reset answer due

166		for 8/15/94 for Bruce Kovner, for David Scott Luttrell, for Luttrell Capital, for Caxton Corporation, for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (kg) (Entered: 06/07/1994)
167	June 7, 1994	ORDER, for confidentiality...and a protective order.. (signed by Judge Robert P. Patterson); Copies mailed (gb) (Entered: 06/07/1994)
168	June 10, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Paul O. Paradis, dated June 9, 1994, requests permission of the Court to file a Memorandum of Law in Support of a Proposed Partial Settlement and Application for Attorney's Fees in excess of 25 pages APPLICATION GRANTED to allow 40 page brief. SO ORDERED. (signed by Judge Robert P. Patterson) (dj) Modified on 06/14/1994 (Entered: 06/14/1994)
169	June 13, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman, dated 06/03/94, In reference to Mr. Schacter's letter to the Court... (signed by Judge Robert P. Patterson) (dj) (Entered: 06/14/1994)
170	June 21, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Leanore Barth, dated June 17, 1994, ...requesting that the Court allow Mr. Mozer to join in the arguments set forth in the reply memorandum of law filed by the codefendants Gutfreund, Strauss, and Meriwether in this action.... APPLICATION GRANTED. SO ORDERED. (signed by Judge Robert P. Patterson) (dj) (Entered: 06/21/1994)
171	June 28, 1994	Transcript of record of proceedings filed for dates of 3/28/94 at 9:30 a.m. (kg) (Entered: 06/29/1994)
172	June 28, 1994	Transcript of record of proceedings filed for dates of 3/18/94 at 3:30 p.m. (kg) (Entered: 06/29/1994)
173	July 12, 1994	NOTICE of Intention to Appear by Lehman Brothers,Inc and Lehman Government Securities, Inc., at Final partial Settlement Hearing...on July 26, 1994 at 9:00 in the forenoon.... (dj) (Entered: 07/13/1994)
174	July 13, 1994	MEMORANDUM re: of Lehman Brothers Inc. and Lehman Government Securities, Inc., in Support of their Objections to the Proposed Bar Order and alternative Indemnity Agreement. (dj) (Entered: 07/13/1994)
175	July 20, 1994	MEMORANDUM OF LAW by Plaintiffs' in support of the proposed partial settlement. (ND:7/20/94 at 5:05P) (kg) (Entered: 07/21/1994)
176	July 20, 1994	Pltffs' Counsel's Joint Petition for Attorneys' Fees and Reimbursement of Expenses. (ND:7/20/94 at 5:06P) (kg) (Entered: 07/21/1994)
177	July 22, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Robert H. Baron, dated July 20, 1994, ... requesting that motin to compel be withdrawn at this time without prejudice.... SO ORDERED. (signed by Judge Robert P. Patterson) (dj) (Entered: 07/25/1994)
180	July 25, 1994	MEMORANDUM of LAW by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MArshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz, Resource/Reed in opposition to the Objection to the Bar Order and Alternative Indemnity Agreement. (dj) (Entered: 07/27/1994)
178	July 26, 1994	REPLY MEMORANDUM OF Lehman Brothers Inc., and Lehman Government Securities, Inc., in support of their Objections to the Proposed Bar Order and Alternative Indemnity Agreement. (dj) (Entered: 07/27/1994)
179	July 26, 1994	MEMORANDUM of Defendants Salomon Inc and Salomon Brothers Inc in support of the Proposed Partial Settlement. (dj) (Entered: 07/27/1994)
182	Aug 2, 1994	Letter filed dated July 28, 1994, to Judge Patterson from Stanley M. Grossman in re: response to issues raised in the letters of Annette Douglas and William Leighton. (dj) (Entered: 08/09/1994)
183	Aug 2, 1994	Letter filed dated July 22, 1994 to Judge Patterson from William Leighton in re: ...certain facts. (dj) (Entered: 08/09/1994)
184	Aug 2, 1994	Letter filed dated July 12, 1994 to Judge Patterson from Annette Douglas, in re: ...in protest to

		the proposed settlement in the solomon Brothers Treasury Litigation... (djc) (Entered: 08/09/1994)
185	Aug 4, 1994	Filed Memo-Endorsement on letter dated 8/2/94, William Leighton's request for permission to file, on behalf of his wife, a motion to intervene is denied (signed by Judge Robert P. Patterson) (email) (Entered: 08/09/1994)
186	Aug 4, 1994	STIPULATION and ORDER, amending the Stipulation of Partial Settlement and Compromise dated as of 4/1/94 (signed by Judge Robert P. Patterson). (email) (Entered: 08/09/1994)
187	Aug 5, 1994	ORDER AND FINAL JUDGMENT,....plttfs' counsel are hereby awarded attorneys' fees in the amount of 25,000,000 and reimbursement of expenses in the aggregate amount of \$3,500,000. Upon the complete funding of Treasury Settlement Fund 1 and Treasury Settlement Fund 2, Chemical Bank, as Trustee, shall cause 66% of the respective aggregate attorneys' fees and expenses to be paid by Treasury Settlement Fund 2 to the order of Pomerantz Haudek Block & Grossman and Stull, STull & Brody jointly. The attorneys' fees and expense reimbursement awarded shall be distributed to plttfs' counsel as determined by Class counsel. The Consolidated Class Action and the Actions are hereby dismissed with prejudice, w/o costs, as to Salomon Inc., Salmon Brothers Inc., Steinhardt Management Co., Inc., Steinhardt Partners, L.P., Michael Steinhardt, Ernest Theurer, Caxton Corp., Luttrell Capital Management Corp., Bruce Kovner, David Scott Luttrell, John H. Gutfreund, Thomas W. Strauss, John Meriwether, Thomas Murphy, Christopher Fitzmaurice, Henry Epstein, Soros Fund Management and Tiger Management Cop, and any and all claims of any kind whatsoever. EOD:8/9/94. (signed by Judge Robert P. Patterson); Mailed copies and notice of right to appeal.(kg) Modified on 08/11/1994 (Entered: 08/09/1994)
	Aug 5, 1994	Case closed. (kg) (Entered: 08/09/1994)
	Aug 5, 1994	Case reopened. (Closed in error) (kg) (Entered: 08/10/1994)
181	Aug 8, 1994	AFFIDAVIT of G. Peter Buchband Re: who is the Chief Operating Officer, Settlement Administrator Services for the certified public accounting firm.. (gb) (Entered: 08/08/1994)
188	Aug 15, 1994	STIPULATION and ORDER, reset answer due for 10/3/94 for Paul Mozer (signed by Judge Robert P. Patterson). (gb) (Entered: 08/15/1994)
189	Aug 18, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman, dated July 19, 1994, ...suggests that if any class member files a Request for Exclusion that is out of time, the Court deal with it when it has more information as to when the potential class member first received the Notice. SO ORDERED. (signed by Judge Robert P. Patterson) (djc) (Entered: 08/18/1994)
190	Aug 23, 1994	Transcript of record of proceedings filed for dates of 4/4/94 at 9:40 a.m. (kg) (Entered: 08/24/1994)
191	Aug 23, 1994	Transcript of record of proceedings filed for dates of 4/8/94 at 9:37 a.m. (kg) (Entered: 08/24/1994)
192	Sept 21, 1994	ORDER, the class described in paragraph 1 of the stipulation and the Appendix thereto has been finally certified; the plntfs named in the second amended class complaint have been finally appointed class representatives; the co-chair firms of plntfs' executive committed, Pomerantz Haudek etal have been finally appointed class counsel (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 09/22/1994)
193	Sept 22, 1994	Transcript of record of proceedings filed for dates of 6/6/94 (cd) (Entered: 09/22/1994)
	Oct 6, 1994	Pre-trial conference held (djc) (Entered: 10/06/1994)
194	Oct 6, 1994	STIPULATION and ORDER, reset answer due for 11/17/94 for Paul Mozer to answer or otherwise appear (signed by Judge Robert P. Patterson). (djc) (Entered: 10/11/1994)
195	Oct 6, 1994	Filed Memo_Endorsement to Judge Patterson from Scott Freeman, on letter dated 09/27/94, in connectin with the settlement mechanism.... AFTER hearing argument at conference on October 5, 1994 it is decided that no papers other than this letter having been presented and no ... of arguments having been presented at oral argument this application is denied. (signed by Judge Robert P. Patterson) (djc) (Entered: 10/11/1994)
196	Oct 21, 1994	NOTICE OF MOTION of Absent Class Member Federal Deposit Insurance Corp to extend time wit hi which to complete proofs of claim , Return date 11/7/94 (djc) (Entered: 10/24/1994)
	Oct 26, 1994	Letter filed to Mr. Grossman (cc to Judge Patterson) from Spencer J. Angel, dated 10/24/94 re

197		request for Zion New York to be excluded from the class.... (dj) (Entered: 10/26/1994)
198	Oct 26, 1994	Letter filed to Judge Patterson from Paul O. Paradis, dated 10/24/94 in re:... letter from a purported class member (dj) (Entered: 10/26/1994)
199	Nov 7, 1994	Letter filed dated 11/01/94 to Judge Patterson from Stanley M. Grossman in re response to motion of Absent Class Member Federal Dwposit Insurance Corp for an extension of time within which to complete proofs of claim..... (dj) (Entered: 11/07/1994)
200	Nov 21, 1994	Transcript of record of proceedings filed for dates of July 26, 1994 (dj) (Entered: 11/21/1994)
201	Nov 22, 1994	STIPULATION and ORDER, ... that the time for defendant Paul Mozer to move, answer or otherwise appear in the above action is extended to and including December 30, 1994. (signed by Judge Robert P. Patterson). (dj) (Entered: 11/25/1994)
202	Dec 16, 1994	ORDER granting [196-1] motion to extend time within which to complete proofs of claim (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 12/29/1994)
203	Dec 16, 1994	Filed Memo_Endorsement on letter dated 12/16/94, extending time for claimants to provide reasons for the delay in filing claim forms to 1/13/95, and notification to them given by Mr. Buchband's office by 12/27/94 (signed by Judge Robert P. Patterson) (cd) (Entered: 12/29/1994)
204	Dec 27, 1994	ORDER, ... that there is no just reason for delay in entering the August 5, 1994 Judgment as a judgment pursuant to Fed. R. Civ. P. 54(b)..... it is in the interests of justice that the August 5, 1994 Judgment approving this substantial settlement as fair, reasonable and adequate be made final and no longer subject to appeal ... at the earliest possible date, so that the settlement may be fully effectuated and the proceeds thereof distributed to Class Imembers with the minimal possible delay as soon as the amounts payable to each Class member can be determined. Accordingly, the August 5, 1994 Judgment shall be entered on the docket as a judgment pursuant to Fed. R. Civ. P. 54(b) forthwith. (signed by Judge Robert P. Patterson); Copies mailed (dj) (Entered: 01/03/1995)
205	Dec 27, 1994	Filed Memo_Endorsement on letter to Judge Patterson from Stanley M. Grossman dated 11/01/94, .. providing the Court with a written status report concerning all of the Claim Forms in early 1995 and are available at the Court's convenience to answer any questions APPLICATION GRANTED. The claims administrator is to require claimants to provide reasons for the ... in filing forms on or before 12/24/94. SO ORDERED. (signed by Judge Robert P. Patterson) (dj) (Entered: 01/04/1995)
206	Jan 3, 1995	Filed Memo-Endorsement on letter from Jules Brody to Judge Patterson dated December 28, 1994, reset pretrial conference for 4:00 1/9/95 (signed by Judge Robert P. Patterson) (jr) (Entered: 01/07/1995)
207	Jan 5, 1995	STIPULATION and ORDER, reset answer due for 1/13/95 for Henry Epstein, for Christopher Fitzmaurice, for John W. Meriwether, for Bruce Kovner, for David Scott Luttrell, for Luttrell Captial, for Caxton Corporation, for Tiger Management Fun, for os Soros Fund Managemen, for Ernest Theurer, for Michael Steinhardt, for Steinhardt Partners, for Steinhardt Managemen, for Thomas Murphy, for Paul Mozer, for Thomas W. Strauss, for John H. Gutfreund, for Salomon Brothers Inc, for Salomon Inc. (signed by Judge Robert P. Patterson). (jr) (Entered: 01/09/1995)
	Jan 9, 1995	Pre-trial conference held; pretrial order 03/03/95; trial date 03/13/95 at 9am. (dj) (Entered: 01/13/1995)
208	Jan 13, 1995	SCHEDULING ORDER setting Pretrial order to be submitted on or before 3/3/95 ; Trial ready deadline 9:00 3/13/95 ; (signed by Judge Robert P. Patterson) ; Copies mailed (cd) (Entered: 01/18/1995)
210	Jan 17, 1995	STATEMENT IN SUPPORT by Donald A. Lindquist of late postmarked Proof of Claim due to Retirement/Traveling. (dvg) (Entered: 01/19/1995)
209	Jan 18, 1995	Filed Memo-Endorsement on letter to Judge Patterson from Lee S. Richards dated 1/12/95, In Re: writing to request extending deft Paul W.Mozer's time to answer until 1/20/95.....This is the final extension , reset answer due for 1/20/95 for Paul Mozer (signed by Judge Robert P. Patterson) (kg) (Entered: 01/19/1995)
211	Feb 3, 1995	Filed Memo_Endorsement on letter dated 1/27/95, Response to motion of plntf for class certification, to 2/17/95 for deft Mozer (signed by Judge Robert P. Patterson) (cd) (Entered: 02/03/1995)

		02/06/1995)
212	Feb 21, 1995	Filed Memo_Endorsement on letter by Lee S. Richards to Judge Patterson, application granted Extending time for Paul W. Mozer to file his opposition papers to plaintiff's motion for class certification until 2/22/95. SO ORDERED: (signed by Judge Robert P. Patterson) (ae) (Entered: 02/22/1995)
213	Feb 23, 1995	MEMORANDUM by Paul Mozer in opposition to Plaintiffs' Motion for Class Certification (pl) (Entered: 02/27/1995)
	Mar 1, 1995	Pre-trial conference held (jr) (Entered: 03/03/1995)
214	Mar 6, 1995	Case Management Plan in a Standard or Complex Case: Next pretrial conference on 3/14/95 at 4:00 p.m. SO ORDERED: (signed by Judge Robert P. Patterson) (ae) (Entered: 03/07/1995)
	Mar 6, 1995	Next Pre-trial conference set at 4:00 3/14/95 (See Order #214) (ae) (Entered: 03/07/1995)
215	Mar 24, 1995	ORDER, the Court approves expenses of the Claims Administrator of \$985,946.81 as having been reasonably incurred during the period 2/26/94 through 12/31/94. \$650,724.89 of said amount shall be paid from Treasury Settlement Fund 1 and \$335,221.92 shall be paid from Treassury Settlement Fund 2. The Court approves fees in the amount of \$735,558.60 as having been reasonably incurred for services rendered during the period 2/26/94 through 12/31/94. \$485,468.67 shall be payable from Treasury Settlement Fund 1 and \$250,089.93 from Treasury Settlement Fund 2. Seventy-Five percent (75%) of the fees awarded purs. to paragraph 2 shall be paid forthwith and 25% of said fees shall be paid upon further order of the Court. Class counsel are directed to cause Chemical Bank as Trustee of the Treasury Settlement Funds to make the payments as ordered herein. (signed by Judge Robert P. Patterson); Copies mailed (kg) (Entered: 03/27/1995)
216	Mar 24, 1995	NOTICE OF MOTION by All Plaintiffs for attorney fees and expenses of the Claims Administrator , Return date 3/20/95 (cd) (Entered: 03/29/1995)
217	Apr 26, 1995	ORDER APPROVING THE NOTICE OF DISMISSAL, it is hereby ORDERED: The Notice the parties propose, a copy of which is attached hereto as Exhibit A, be and the same hereby is approved as meeting the standard of due process under Rule 23 given the circumstances the plffs and Mr. Mozer have represented to the Court. The plffs and Mr. Mozer shall cause the Notice to appear in the national edition of the Wall Street Journal on each of the following dates: 4/28/95 ; 5/5/95 and 5/12/95. Irving Morris and Karen L. Morris shall retain all correspondence they may receive in response to the Notice and provide the Court at the direction of the Court with the original of all such correspondence and, in addition, provide to counsel for Mr. Mozer a copy of all such correspondence. Upon the completion of the third publication on 5/16/95, the plffs shall lodge with the Court an affidavit that the publication has in fact taken place in accordance with this Order. The plffs and Mr. Mozer shall present a proposed Order for the Court's consideration providing for the dismissal of the actions and all claim brought against Mr. Mozer in keeping with the Notice and submit the proposed Order after the completion of the third publication and prior to 6/13/95, the date plffs expect to present their proposed Order to the Court. (signed by Judge Robert P. Patterson); Copies mailed (kg) (Entered: 04/28/1995)
218	June 13, 1995	AFFIDAVIT of PUBLISHING (dj) (Entered: 06/19/1995)
219	June 16, 1995	Order of dismissal of the action against Paul W. Mozer with prejudice as to the Named Plaintiffs only. (signed by Judge Robert P. Patterson) (ae) (Entered: 06/21/1995)
220	June 20, 1995	Filed Memo-Endorsement on letter to Judge Patterson from Lee S. Richards dated 6/19/95, In RE: to withdraw [213-1] opposition memorandum.....Application Granted. Motion w/drawn, withdrawing [213-1] opposition memorandum (signed by Judge Robert P. Patterson) (kg) (Entered: 06/23/1995)
222	July 11, 1995	Letter filed by Karen L. Morris, dated 7/6/95 re: Article appearing in the New York Times (pl) (Entered: 07/21/1995)
221	July 13, 1995	Filed Memo_Endorsement on letter dated 7/11/95, plntf attorney Stanley Grossman, request that Dr. Mendelson be appointed with his compensation of \$560.00 per hour is denied; adequate reason not shown (signed by Judge Robert P. Patterson) (cd) (Entered: 07/14/1995)
226	Aug 11, 1995	STATEMENT IN SUPPORT OF LATE POSTMARKED PROOF OF CLAIM by Phillip Suver (ls) (Entered: 08/17/1995)

223	Aug 14, 1995	NOTICE OF MOTION by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MARshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz, Resource/Reed for an order allowing the untimely Claim Forms filed by the claimants indetified in Exhibit A hereto; direct GCG to send the Final Notice, substantially in the form attached hereto as Exhibit B, to those claimants who have failed to respond GCG's prior letter directing claimants to comply with this Court's Order of 12/15/94 and order that the Claim Forms filed by claimants who fail to respond to the Final Notice be disallowed. , Return date 5/22/95 (rag) (Entered: 08/17/1995)
224	Aug 14, 1995	STATUS REPORT by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MARshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz, Resource/Reed on the status of the administration of claims,etc. THIS DOCUMENT RELATES TO ACTION NOS. 91 CIV. 5471,5486,5334,5696,5701,5702,5991,6224,6496 AND 7029. (rag) (Entered: 08/17/1995)
225	Aug 14, 1995	APPLICATION by Catherine Baker Knoll, Glickenaus & Co., Leonard I. Schreiber, Murray J. Rosen, MARshall Wolf, Winifred C. Johnson, Michael Caesar, Howard Gunty, Inc., Profit Sharing Plan, Meyer D. Rich, Jules Levine, Susan Katz, Resource/Reed for order approving interim payment of fees and expenses of the Claims Administrator (rag) (Entered: 08/17/1995)
227	Aug 16, 1995	ORDER granting [225-1] motion for order approving interim payment of fees and expenses of the Claims Administrator, see document (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 08/22/1995)
228	Aug 16, 1995	Memo endorsed on motion; granting [223-1] motion for an order allowing the untimely Claim Forms filed by the claimants indetified in Exhibit A hereto; direct GCG to send the Final Notice, substantially in the form attached hereto as Exhibit B, to those claimants who have failed to respond GCG's prior letter directing claimants to comply with this Court's Order of 12/15/94 and order that the Claim Forms filed by claimants who fail to respond to the Final Notice be disallowed. (signed by Judge Robert P. Patterson); Copies mailed. (cd) (Entered: 08/22/1995)
229	Aug 16, 1995	Memo endorsed on status report; approving [224-1] report (signed by Judge Robert P. Patterson); Copies mailed. (cd) (Entered: 08/22/1995)
230	Aug 18, 1995	ORDER, ordered that the Claim Forms of the claimants identified in Exhibit A to the Motion are allowed. GCG is directed to send the Final Notice, substantially in the form attached to the Motion as Exhibit B, to those claimants who have failed to respond to GCG's prior letter directing claimants to comply with this Court's Order of 12/15/94. The Claim Forms of those claimants who fail to respond to the Final Notice will be disallowed. (signed by Judge Robert P. Patterson); Copies mailed (kg) (Entered: 08/22/1995)
	Aug 18, 1995	Pre-trial conference held before Judge Patterson (ls) (Entered: 09/12/1995)
231	Oct 19, 1995	ORDER, Haim Mendelson, shall have no liability in connection with the Treasury Class litigation to the Claims Administrator, any claimant, plntfs' atty, any governmental agency or any other party... (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 10/20/1995)
234	Nov 21, 1995	Letter filed by David Schiller dated 11/16/95 ... (djc) (Entered: 12/12/1995)
232	Nov 28, 1995	Letter filed by G. Peter Buchband dated 11/21/95 (djc) (Entered: 12/08/1995)
233	Nov 30, 1995	Letter filed dated 11/25/95 from David Schiller re Claim (rag) (Entered: 12/08/1995)
235	Jan 22, 1996	STATUS REPORT submitted by Treasury Class Counsel (emil) (Entered: 01/25/1996)
236	Jan 22, 1996	AFFIDAVIT in support of Dawn E. Addazio Re: [235-1] status report (emil) (Entered: 01/25/1996)
237	Jan 24, 1996	ORDER, the Claims Administrator shall promptly send a final deficiency notice to the claimants... (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 01/25/1996)
238	Jan 31, 1996	Filed Memo_Endorsement on letter from frederick J. Sperling to Judge Patterson dated 01/30/96, ... requests that the Court approve the form and substance of the Stipulation and Release at this time. APPLICATION GRANTED. THE COURT HAS REVIEWED AND APPROVED HTE STIPULATION AND RELEASE. SO ORDERED. (signed by Judge Robert P. Patterson) (djc) (Entered: 02/01/1996)

239	Feb 13, 1996	STIPULATION and RELEASE each of the Funds authorized New Leader to submit 1 Proof of Claim & Release Form in the Salomon Treasury Litigation in the name & tax payor id # of New Leader on behalf of each & all of the Funds in the aggregate ; In the event that the Court ultimately allows the Proof of Claim & Release Form to be submitted by New Leader, the proceeds of the Net Treasury Settlement Funds distributed by the Claims Administrator to New Leader will be allocated exclusively between & among the Funds, with Treasury Class Counsel, the Claims admin. & Dr. Haim Mendelson having no responsibility or participation whatsoever in said allocation , Each of the Funds, Hickey & New Leader etc...forever release Treasury Class Counsel, the Claims Adm. & Dr. Mendelson etc...from any & all claims etc. (see doc.) (signed by Judge Robert P. Patterson). (lam) (Entered: 02/14/1996)
	Mar 22, 1996	Pre-trial conference held (cd) (Entered: 03/26/1996)
240	Mar 25, 1996	Treasury Class STATUS REPORT (cd) (Entered: 03/25/1996)
241	Mar 25, 1996	AFFIDAVIT of D. Addazio Re: status report (doc #240) (cd) (Entered: 03/25/1996)
	Apr 12, 1996	MEMORANDUM of fund administrator upon remand reconsidering claim on behalf of Eric Rosenfeld *NOTE: orig. doc. fld. in related case 92 civ 3691 (RPP) and noted in the above case (ls) (Entered: 04/15/1996)
242	Apr 23, 1996	Filed Memo_Endorsement on letter to Judge Patterson from Paul O. Paradis dated 04/22/96, ... it is presently anticipated that Dr. Haim Mendelson will complete processing of all option related claim by May 17, 1996. Claimants will then be advixed of their Total Allowed Losses as contemplated by the Court's April 15, 1994 order by no later than May 31, 1996.... Received and approved. Counsel will report to the Court if dates of May 10, 1996 and May 17, 1996 are met as scheduled. so ordered. (signed by Judge Robert P. Patterson) (djc) (Entered: 04/24/1996)
	Apr 23, 1996	REPORT OF CIVIL CLAIMS FUND ADMINISTRATOR for period 1/1/9, to and ending 3/31/96 - Note: orig. doc. fld. in case no. 92 Civ., 3691 (RPP) (ls) (Entered: 04/24/1996)
245	May 1, 1996	AFFIDAVIT in support of G. Peter Buchband Re: [225-1] motion for order approving interim payment of fees and expenses of the Claims Administrator (pl) (Entered: 05/03/1996)
243	May 2, 1996	ORDER, The Court, having reviewed the status of the claims set forth in Exhibit 1 hereto, has determined that these claims are partially deficient or the reasons set forth in that Exhibit. Accordingly, the Claims Administrator shall process such claims and calculate the Allowed Loss resulting from such claims based only on the portion of such claims that are complete and, where required, full substantiated.... (See order for more information) SO ORDERED: (signed by Judge Robert P. Patterson); (ae) (Entered: 05/03/1996)
244	May 2, 1996	ORDER, approving plntfs' application for an order authorizing interim payment of fees and expenses re Claims Administrator...see document for various amounts (signed by Judge Robert P. Patterson); Copies mailed (cd) (Entered: 05/03/1996)
	May 17, 1996	Pre-trial conference held (cd) (Entered: 05/22/1996)
	June 3, 1996	STATEMENT of plttf. Securites and Exchange Commission *(see below) re: The application of Fund Administrator for order authorizing payment from fund of fees and expenses of accountants and attys. for period from 1/1/96 to and ending 3/31/96 *Note: orig. doc. fld. in related case no. 94 civ 9040 (RPP) and noted in this case (ls) (Entered: 06/10/1996)
	June 12, 1996	Pre-trial conference held (cd) (Entered: 06/19/1996)
246	Aug 1, 1996	Filed Memo_Endorsement on letter dated 7/31/96, Treasury Class Counsel hereby request permission for the Claims Administrator to print and mail Notices of Allowed Loss to all other claimants who have not yet been notified to their Allowed Loss ...So Ordered....(signed by Judge Robert P. Patterson) (pl) (Entered: 08/01/1996)
	Aug 16, 1996	STATEMENT *(see below) Of Plttf. SEC Re: The Application Of The Civil Claims Fund Administrator For An Order Authorizing (1) The Payment From The Civil Claims Fund Of The Fees And Expenses Of The Civil Claims Fund Administrator For The Period From April 1, 1996 To And Ending June 30, 1996 And (2) The Transfer Of Certain Funds To The Civil Claims Fund *Note: orig. doc. fld. in case no. 92 Civ 3691 (RPP) and noted only in this related case (ls) Modified on 08/19/1996 (Entered: 08/19/1996)
	Aug 19, 1996	ORDER *(see below), Authorizing Payment from Fund of 1996 Third Quarter Estimated Federal Income Tax. ...SO ORDERED... (signed by Judge Robert P. Patterson); Copies mailed *Note: orig. doc. fld. in case no. 94 civ 9040 (RPP) (ls) (Entered: 08/20/1996)

247	Sept 18, 1996	Filed Memo_Endorsement on letter by Paul O. Paradis to Judge Patterson dated 9/17/96, Application granted. Set brief conference for 9:00 9/19/96. SO ORDERED: (signed by Judge Robert P. Patterson) (ae) (Entered: 09/18/1996)
	Sept 19, 1996	MEMO TO THE DOCKET CLERK: Pre-trial conference held on 9/19/96 before Judge Patterson. (ae) (Entered: 09/24/1996)
	Sept 25, 1996	*(see below) Case closed administratively Pursuant to Memorandum From the Administrative Office of the United States Courts, Dated June 15th, 1973. ...SO ORDERED...(signed Judge Thomas P. Griesa) *Note: orig. doc. fld. in case no. 92 civ 5885 (RPP) and noted only in this case (ls) (Entered: 09/26/1996)
248	Sept 27, 1996	Treasury Class Pltffs' Application for an Order Approving the Distribution of the Net Treasury Settlement Funds and for other Relief. (kg) (Entered: 09/30/1996)
249	Sept 27, 1996	Application by the claims Administrator for an Order awarding Fees and Expenses for claims administration. (kg) (Entered: 09/30/1996)
250	Sept 27, 1996	AFFIDAVIT in support of G. Peter Buchband Re: in support of Treasury Class Pltffs' Application for an order aprvng the Distribution of the Net Treasury Settlement Funds, and of Application by Claims Administrator for an order awarding fees and expenses for claims administration. (kg) (Entered: 09/30/1996)
251	Oct 2, 1996	LIST OF ELIGIBLE CLAIMANTS In Descending Loss Amount Volume 1 of 2, EXHIBITS A. (ae) Modified on 10/09/1996 (Entered: 10/03/1996)
252	Oct 2, 1996	LIST OF ELIGIBLE CLAIMANTS In Descending Loss Amount VOLUME 2 OF 2, EXHIBIT A. (ae) Modified on 10/09/1996 (Entered: 10/03/1996)
256	Oct 2, 1996	LIST OF ELIGIBLE CLAIMANTS.. In Alphabetical Order by Beneficial Owner Volume 1 of 2 Exhibit A. (kk) (Entered: 10/09/1996)
257	Oct 2, 1996	LIST OF ELIGIBLE CLAIMANTS.. In Alphabetical Order by Beneficial Owner Volume 2 of 2 Exhibit A. (kk) (Entered: 10/09/1996)
253	Oct 8, 1996	ORDER, concerning distribution of the net treasury settlement funds. SO ORDERED: (signed by Judge Robert P. Patterson); (ae) (Entered: 10/08/1996)
254	Oct 8, 1996	ORDER, concerninig payment of claims adminstration fees and expenses. SO ORDERED: (signed by Judge Robert P. Patterson); (ae) (Entered: 10/08/1996)
255	Oct 8, 1996	Memo endorsed on DETERMINATION & CERTIFICATION filed by Joel L. Carr, Civil Claims Fund Administrator....SO ORDERED 10/4/96. PATTERSON, J. (signed by Judge Robert P. Patterson); Copies mailed. (lam) (Entered: 10/08/1996)
258	Nov 1, 1996	Transcript of record of proceedings filed for dates of 9/18/96 @9:00am before Judge Patterson. (lam) (Entered: 11/01/1996)
	Nov 25, 1996	Memo endorsed *(see below) on application;, Application of fund administrator for order authorizing payment from fund of 1996 fourth quarter estimated federal income tax...SO ORDERED... (signed by Judge Robert P. Patterson); Copies mailed. *Note: orig. doc. fld. in case no. 94 civ 9040 (RPP) and noted only in this related case (ls) (Entered: 12/03/1996)
	Dec 11, 1996	STIPULATION and ORDER, *(see below) that effective upon the entry of this Stipulation and Order, the period for submission of the proposed Plan of Distribution contemplated by paragraph F. of Article VI of ea. of the Final Jdgmts. is extended to and incl. 5/21/96 *Note: orig. doc. fld. in related case no. 94 civ 9040 (RPP) and noted in this related case (signed by Judge Robert P. Patterson). (ls) (Entered: 12/11/1996)
	Feb 28, 1997	Application of Fund Administrator for order authorizing payment from Fund of Fees and Expenses of Accountants and Attorneys for period from 10/1/96 to and ending 12/31/96. (Original docketed in 94 civ. 9040) (kw) (Entered: 03/10/1997)
259	Dec 19, 1997	Case closed administratively Pursuant to Memorandum From the Administrative Office of the United States Courts, Dated June 15th, 1973. (cd) (Entered: 12/22/1997)
260	Mar 20, 1998	AFFIDAVIT of Dawn E. Addazio in Support of Treasury Class Counsel's Application for an Order Concerning Final Disposition of the Treasury Settlement Funds (dj) (Entered: 05/05/1998)
	Mar 20, 1998	NOTICE OF MOTION of Treasury Class Plaintiffs for an order directing the Claims

261		Administrator to close the bank accounts denominated Net Treasury Settlement Funds 1 and 2 (the distribution checking accounts) ; disallowing the claims of the Class members identified in Exhibits 1 and 2 to the Addazio Aff and directing the disbursement of the balance of the Treasury Settlement Funds to charitable organizations , No Return date (dj) (Entered: 05/05/1998)
262	May 5, 1998	ORDER Concerning Final Disposition of the Treasury Settlement Funds; The Claims Administrator is to close the bank accounts denominated Net Treasury Settlement Funds 1 and 2. 2. For good cause shown, the claims of the Eligible Claimants identified in Exhibits 1 and 2 hereto are Disallowed, and such claimants are forever barred from asserting any claims arising from the claims administration process herein against the Treasury Settlement Funds, the Claims Administrator or Treasury Class Counsel. 3. Treasury Class Counsel is directed to disburse the balance of the Treasury Settlement Funds as contributions from an anonymous donor as follows: The Settlement Fund Balance shall be distributed to the University Settlement Society of NY without attribution to the Court (signed by Judge Robert P. Patterson); Copies mailed (dj) (Entered: 05/06/1998)
263	Mar 31, 2017	NOTICE TO ATTORNEY TO RETRIEVE SEALED MATERIAL: Notice to the attorney of record for the filing party to retrieve sealed document number 71 and 117 within thirty (30) days, or the Court will dispose of them. Sealed Records Retrieval due by 5/1/2017. (rz) (Entered: 03/31/2017)
264	Mar 31, 2017	NOTICE TO ATTORNEY TO RETRIEVE SEALED MATERIAL: Notice to the attorney of record for the filing party to retrieve sealed document number 118 within thirty (30) days, or the Court will dispose of them. Sealed Records Retrieval due by 5/1/2017. (rz) (Entered: 03/31/2017)
265	Apr 10, 2017	SEALED MATERIALS DISPOSED: Document(s) 71 and 117 were destroyed per filing party request. The sealed record(s) were destroyed on 4/10/17.(rz) (Entered: 04/10/2017)

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Katz, et al v. Salomon Inc., et al

General Information

Court	United States District Court for the Southern District of New York; United States District Court for the Southern District of New York
Federal Nature of Suit	Racketeer Influenced and Corrupt Organizations[470]
Docket Number	1:91-cv-05471
Status	CLOSED